

JCWSCS 21 DEC 2004

DEC.21'2004 14:03 518 449 0047

HOFFMAN WARNICK D ALESSANRO LLC #1940 P.001

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			Docket No.
Applicant(s): Fareed et al.			SETI-0009
Application No. 10/713,326	Filing Date 11/14/2003	Examiner N/A	Group Art Unit 2812
Invention: METHODS OF GROWING NITRIDE-BASED FILM USING VARYING PULSES			
<p>I hereby certify that this <u>Request for Corrected Filing Receipt w/attached Copy of Filing Receipt in 3 pages</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 746-9195</u>)</p> <p>on <u>December 21, 2004</u> (Date)</p> <p style="text-align: right;"><u>Dorothea Rubbone</u> (Typed or Printed Name of Person Signing Certificate)</p> <p style="text-align: right;"><u>Dorothea Rubbone</u> (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>			

P18/REV02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

SERIAL NO.:	10/713,326	CONF. NO.:	9895
FILING DATE:	11/14/2003	ART UNIT:	2812
APPLICANT:	Fareed, et al.	EXAMINER:	N/A
TITLE:	METHODS OF GROWING NITRIDE-BASED FILM USING VARYING PULSES	DOCKET NO:	SETI-0009

**REQUEST FOR CORRECTED FILING RECEIPT**

**Via Facsimile**

(703) 746-9195

Office of Initial Patent Examination's  
Filing Receipt Corrections


Sir:

The filing receipt in the above-identified patent application contains a Patent Office error. Under the heading Applicant(s), please delete first inventor's first name "Ohalid", and insert his correct name - - Qhalid - -. Provided is a copy of the Filing Receipt with the change noted.

It is respectfully requested that a new Filing Receipt be forwarded to the undersigned upon fulfillment of the above.

Respectfully submitted,

Date: December 21, 2004

  
\_\_\_\_\_  
Ronald A. D'Alessandro  
Reg. No. 42,456

Hoffman, Warnick & D'Alessandro LLC  
Three E-Comm Square  
Albany, New York 12207  
Phone: (518) 449-0044



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/713,326	11/14/2003	2812	385	SETI-0009	6	20	3

CONFIRMATION NO. 9895

23550  
 HOFFMAN WARNICK & D'ALESSANDRO, LLC  
 3 E-COMM SQUARE  
 ALBANY, NY 12207

## FILING RECEIPT

\*OC000000012036157\*

Date Mailed: 03/08/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s):

-- Qhalid -- ~~Qhalid~~ Fareed, Columbia, SC;  
 Remigijus Gaska, Columbia, SC;  
 Michael Shur, Latham, NY;

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/468,516 05/07/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 03/05/2004

Projected Publication Date: 11/11/2004

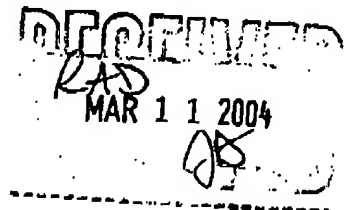
Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Methods of growing nitride-based film using varying pulses



Preliminary Class

438

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12. If a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).